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09-28 '01

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional application under 37 C.F.R. § 1.53(b))</small>		Attorney Docket No. IN-5383 First Named Inventor William C. NORRIS Filing Date Thursday, September 27, 2001 Title Curable Powder-Based Coating Composition Including a Color Effect Providing Pigment Express Mail Certificate EK894923445US
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APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents</small>		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application, Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>		
2. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small>		
3. <input checked="" type="checkbox"/> Specification [Total Pages <u>29</u>] <ul style="list-style-type: none"> -preferred arrangement set forth below) -Descriptive title of the invention -Cross References to Related Application -Statement Regarding Fed sponsored R & D -Reference to Microfiche Appendix -Background of the invention -Background of the invention -Background of the invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description -Claim(s) -Abstract of the Disclosure 		
4. <input type="checkbox"/> Drawing(s) (35 U.S.C.113) [Total Sheets <u> </u>]		
5. <input type="checkbox"/> Oath or Declaration [Total Sheets <u> </u>] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR § 1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small> <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting</small> 		
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		
7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)		
8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); ii. <input type="checkbox"/> paper 		
c. <input type="checkbox"/> Statements verifying identity of above copies		
ACCOMPANYING APPLICATION PARTS		
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))		
10. <input type="checkbox"/> 37 CFR§3.73(b) Statement <input type="checkbox"/> Power Of Attorney <small>(when there is an assignee)</small>		
11. <input type="checkbox"/> English Translation Document (if applicable)		
12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) / PTO-1449 <input type="checkbox"/> Copies of IDS Citations		
13. <input type="checkbox"/> Preliminary Amendment		
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>		
15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>		
16. <input type="checkbox"/> other: <small>.....</small>		

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation **Divisional** **Continuation-in-part (CIP) of prior application No. 0** _____ filed on _____

Prior application information:

Examiner _____

Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		 26922 <small>PATENT-TRADEMARK OFFICE</small>	or <input type="checkbox"/> Correspondence address below	
Country	USA	Telephone	(248) 948-2021	
Name (print Type)	Anne Gerry Sabourin		Fax	(248) 948-2093
Signature			Date	September 27, 2001

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
These are the fees effective October 1, 1997

Attorney Docket No.	IN-5383
First Named Inventor	William C. NORRIS et al.
Filing Date	September 27, 2001
Title	Curable Powder-Based Coating Composition Including a Color Effect Providing Pigment
Express Mail Certificate	EK894923445US

TOTAL AMOUNT OF PAYMENT \$1,448.00**METHOD OF PAYMENT**

1. The Commissioner is hereby authorized to change indicated fees and credit any over payments to:

Deposit Account Number **23-3425**Deposit Account Name **BASF Corporation**

Change Any Additional Fee Charge the Issue Fee Set in 37 CFR §
Required Under 37 CFR §§ 1.18 at the Mailing of the Notice of
1.16 and 1.17 Allowance

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Fee Code Entity Fee (\$)

101	710	Utility filing fee	\$710.00
106	330	Design filing fee	
107	540	Plant filing fee	
108	790	Reissue filing fee	
114	150	Provisional filing fee	
SUBTOTAL (2)			\$710.00

2. EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims	61	-20** =	41	x 18 = \$738.00
Independent				
Claims	01	- 3** =	0	x 80 =
Multiple				
Dependent			270	=

*Or number previously paid, if greater; For Reissues, see below

Large Entity

Fee Fee

Code (\$)

103	18	Claims in excess of 20
102	80	Independent claims in excess of 3
104	270	Multiple dependent claims, if not paid
109	82	**Reissue independent claims over original patent
110	22	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$738.00)

FEE CALCULATION**3. ADDITION FEES**

Large Entity Fee Description	Fee Paid
Fee Code (\$)	
105	130 Surcharge -late filing fee or oath
127	50 provisional mailing or cover sheet
139	130 Non-English specification
147	2,520 For filing request for examination
112	920* Requesting publication of SIR prior to Examiner action
113	1,840 Requesting publication of SIR after Examiner action
115	110 Extension for reply within first month
116	400 Extension for reply within second month
117	890 Extension for reply within third month
118	1,510 Extension for reply within fourth month
128	2,060 Extension for reply within fifth month
119	310 Notice of Appeal
120	310 Filing a brief in support of an appeal
121	270 Request for oral hearing
138	1,510 Petition to institute a public use proceeding
140	110 Petition to revive-unavoidable
141	1,320 Petition to revive-unintentional
142	1,320 Utility issue fee (or reissue)
143	450 Design issue fee
144	670 Plant issue fee
122	130 Petition to the Commissioner
123	50 Petition related to provisional application
126	240 Submission of information Disclosure Statement
581	40 Recording each patent
	Assignment per property (time number of properties)
146	790 Filing a submission after final rejection
149	790 For each additional invention to be examined (37 CFR 1.129(b)) (specify)
Other fee	Reduced By Basic Fee Paid
	Filing

18. CORRESPONDENCE ADDRESS

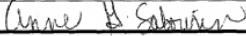
Customer Number or Bar Code Label


26922
PATENT-TMADREMARK OFFICE

or Correspondence address below

Country	USA	Telephone	(248) 948-2021	Fax	(248) 948-2093
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Name (print Type)	Anne Gerry Sabourin	Registration No. (Attorney Agent)	33,772
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Signature		Date	September 27, 2001
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PATENT

(Practitioner's Docket No. IN- 5383)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

William C. NORRIS
Josef RADEMACHER

US Serial No. : Herewith

Filed: Thursday, September 27, 2001

For: Curable Powder-Based Coating Composition Including a Color Effect Providing Pigment

Group Art Unit:

Examiner:

Assistant Commissioner of Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number **EK894923445US** Date of Deposit **September 27, 2001**

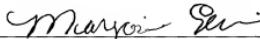
I hereby certify that the following attached paper or fee

Application
Specification on **18** pages; claims on **10** pages;
the abstract on **01** page(s); drawings on **0** page(s).

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)


Signature of person mailing paper or fee

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g. complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. If the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note. The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.

TD/260-37419660

PATENT

(Practitioner's Docket No. IN- 5383)

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In re Application of

William C. NORRIS
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US Serial No. : Herewith

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For: Curable Powder-Based Coating Composition Including a Color Effect Providing Pigment

**Assistant Commissioner of Patents
Washington, D.C. 20231****EXPRESS MAIL CERTIFICATE**"Express Mail" label number EK894923445US Date of Deposit September 27, 2001

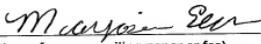
I hereby certify that the following attached paper or fee

<input checked="" type="checkbox"/>	Information Disclosure Statement
<input checked="" type="checkbox"/>	From PTO-1449
<input checked="" type="checkbox"/>	Cited References

Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)


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Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.